# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *     MOORE ALISON			2. Issuer Name and Ticker or Trading Symbol CODEXIS, INC. [CDXS]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner				
(Last) (First) (Middle) C/O CODEXIS, INC., 200 PENOBSCOT DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 06/15/2021						r (give title belo	ow)	Other (specify b	elow)	
(Street) REDWOOD CITY, CA 94063			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)  _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Zip)	т	-1-1- T	NI	D:	4 C		<u> </u>	d D:		D <b>6</b> 1 - 11	01		
2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any	f Coo	3. Transaction Code (Instr. 8)		4. Securities Acquire (A) or Disposed of (D)		uired of	red 5. Amount of Secur Beneficially Owned		es following	6. Ownership Form:	7. Nature of Indirect Beneficial Ownership	
		C	Code	V A	mount	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)	
06/15/2021			A			A	\$ 0	22,701			D		
			t	the fori	m dis	plays a o	currer eficiall	ntly valid					
3. Transaction Date Execution Date (Month/Day/Year)	te, if Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed		6. Date Exercisable		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported	Owners: Form of Derivati Security Direct (i or Indirect) (I)	Beneficia Ownershi (Instr. 4)		
		4, an	u 3)					Amount					
	(Middle) NOBSCOT  2. Transaction Date (Month/Day/Year)  06/15/2021  Table II - 1 (On 3A. Deemed Execution Date) (Year)	CODEXIS, IN  ROBSCOT  3. Date of Earlies 06/15/2021  4. If Amendment 2  2. Transaction Date (Month/Day/Year)  3. Deemed Execution Date, if Transaction  4. Transaction  3. Date of Earlies Decided Execution Date, if Transaction	CODEXIS, INC. [6]  NOBSCOT  3. Date of Earliest Tran 06/15/2021  4. If Amendment, Date  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date, if Code (Insum Month/Day/Year)  3. Table II  Code (Insum Month/Day/Year)  4. Transaction Code (Insum Month/Day/Year)  (Month/Day/Year)  (Insum Month/Day/Year)  (Insum Month/Day/Year)	CODEXIS, INC. [CDX3  3. Date of Earliest Transaction 06/15/2021  4. If Amendment, Date Origin  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date, if any (Month/Day/Year)  Code  4. If Amendment, Date Origin  3. Transaction Date, if any (Month/Day/Year)  Code  Code  Table II - Derivative Securities Acquire (e.g., puts, calls, warrants, opt of Date, if any (Month/Day/Year)  A  Table II - Derivative Securities Acquire (e.g., puts, calls, warrants, opt of Date, if any (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  A  Table II - Derivative Securities Acquired (A) or	CODEXIS, INC. [CDXS]  3. Date of Earliest Transaction (Montion 06/15/2021  4. If Amendment, Date Original Filed  2. Transaction Date (Month/Day/Year)  2. Transaction Execution Date, if any (Month/Day/Year)  2. Transaction Date, if any (Month/Day/Year)  2. Transaction Execution Date, if any (Month/Day/Year)  2. Transaction Date, if any (Month/Day/Year)  3. Transaction (Montion Date, if Transaction Number of Ode (Month/Day/Year) (Instr. 8)  2. Transaction Date, if Transaction Date, if any (Month/Day/Year)  3. Transaction Person Code (Instr. 8)  2. Transaction Date, if Transaction Date, if Derivative Securities Acquired (A) or Disposed	CODEXIS, INC. [CDXS]  3. Date of Earliest Transaction (Month/Day/06/15/2021  4. If Amendment, Date Original Filed(Month/S)  2. Transaction Date (Month/Day/Year)  2. Transaction Execution Date, if (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Execution Date, if (Month/Day/Year)  2. Transaction Date, if (Month/Day/Year)  2. Transaction Date, if (Month/Day/Year)  2. Transaction Date, if (A) or Disposed of (E.g., puts, calls, warrants, options, convertion (Month/Day/Year)  3. Transaction (A) or Disposed of (D)  4. Securities Date (Instr. 8)  Code V Amount (Instr. 8)  Persons where contained in the form disposed of (Month/Day/Year)  3. Transaction (A) or Disposed of (A) or Disposed of (D)  (Instr. 8)  Persons where contained in the form disposed of (Month/Day/Year)  (Month/Day/Year)	CODEXIS, INC. [CDXS]  3. Date of Earliest Transaction (Month/Day/Year)  06/15/2021  4. If Amendment, Date Original Filed(Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Execution Date, if (Month/Day/Year)  2. Transaction Date (Instr. 8)  (Month/Day/Year)  Code V Amount (A) or (D)  (Instr. 3, 4 and 5)  Code V Amount (A) or (D)  O6/15/2021  A 4,796 A  Cor each class of securities beneficially owned directly or indirectly.  Persons who respor contained in this for the form displays a contained in this form the form displays a contained in this fo	CODEXIS, INC. [CDXS]  3. Date of Earliest Transaction (Month/Day/Year) 06/15/2021  4. If Amendment, Date Original Filed(Month/Day/Year)  2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date, if (Month/Day/Year) 3. Transaction (A) or Disposed of (D) (Instr. 3, 4 and 5)  2. Transaction Date, if (Month/Day/Year) 3. Transaction (Month/Day/Year) 4. Securities Acquired (A) or Disposed of (D) (D) (Instr. 3, 4 and 5)  2. Transaction (Code (Instr. 8) (A) or Disposed of (Instr. 8) (A) or Disposed of (Instr. 8)  2. Transaction (Code (Instr. 8) (D) (Instr. 3, 4 and 5)  3. Transaction (A) or Disposed of (Instr. 8) (D) (Instr. 3, 4 and 5)  3. Transaction (A) or Disposed of (Instr. 8) (D) (Instr. 3, 4 and 5)  3. Transaction (A) or Disposed of (Instr. 8) (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (Instr. 8) (D) (Instr. 8)	CODEXIS, INC. [CDXS]   XDirect_Office	CODEXIS, INC. [CDXS]  (Middle) NOBSCOT  3. Date of Earliest Transaction (Month/Day/Year)  4. If Amendment, Date Original Filed(Month/Day/Year)  4. If Amendment, Date Original Filed(Month/Day/Year)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or I any (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  A	CODEXIS, INC. [CDXS]  3. Date of Earliest Transaction (Month/Day/Year)  4. If Amendment, Date Original Filed(Month/Day/Year)  5. Amount of Securities Beneficially Owned Following Reported Transaction(Instr. 3, 4 and 5)  6. Individual or Joint/Group Filing X, Form filed by More than One Reporting Person Form filed by More than One Reporting Person (A) or Disposed of (Instr. 3, 4 and 5)  6. Individual or Joint/Group Filing X, Form filed by More than One Reporting Person Beneficially Owned Following Reported Transaction(S) (Instr. 3 and 4)  6. Individual or Joint/Group Filing X, Form filed by More than One Reporting Person Warner filed by More than One Reporting Person (A) or Disposed of (Instr. 3, 4 and 5)  6. Individual or Joint/Group Filing X, Form filed by One Reporting Person Warner filed by More than One Reporting Person (A) or Disposed of (Instr. 3, 4 and 5)  6. Individual or Joint/Group Filing X, Form filed by One Reporting Person Warner filed	CODEXIS, INC. [CDXS]  (Middle) NOBSCOT  3. Date of Earliest Transaction (Month/Day/Year)  (A) If Amendment, Date Original Filed(Month/Day/Year)  4. If Amendment, Date Original Filed(Month/Day/Year)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (D) Price  Table II - Derivative Securities Acquired (A) or Disposed of (Instr. 8)  (Instr. 3, 4 and 5)  (Instr. 3 and 4)  (Instr. 4)  (Instr. 3 and 4)  (Instr. 4)  (Instr. 3 and 4)  (Instr. 4)  (Instr. 3 and 4)  (Instr. 4)  (Instr. 3 and 4)  (Instr. 4)  (Instr. 3 and 4)  (Instr.	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MOORE ALISON C/O CODEXIS, INC. 200 PENOBSCOT DRIVE REDWOOD CITY, CA 94063	X					

## **Signatures**

/s/ Ross Taylor, Attorney-in-Fact for Alison Moore	06/17/2021	
**Signature of Reporting Person	Date	

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock award vests as to all of the shares subject to the award on the earlier of the first anniversary of the date of grant or the next annual stockholder meeting, subject to the director's continued service to the Company on such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.