## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* NICOLS JOHN J				2. Issuer Name and Ticker or Trading Symbol CODEXIS INC [CDXS]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) C/O CODEXIS, INC., 200 PENOBSCOT DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 03/18/2019							X_ Officer (give title below) Other (specify below)  President and CEO				
(Street) REDWOOD CITY, CA 94063				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting PersonForm filed by More than One Reporting Person					
(City) (State) (Zip)				Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
(Instr. 3) Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		if Code (Instr.	(Instr. 8)		urities Acq posed of (I 3, 4 and 5)	D) ` ´	Owned Following Reported Transaction(s)			Ownership Form:	Beneficial	
						Code V		(A) or Amount (D) Price		(Instr. 3 and 4)			Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common	n Stock		03/18/2019			M <sup>(1</sup>	)	15,07	72 A S	\$ 3.46	1,087,751			D	
Common Stock		03/18/2019			S <sup>(1)</sup>	1	15,07	72 D	\$ 20.9472 (2)	1,072,679			D		
Common Stock 03/19/		03/19/2019			M <u>(1</u>	)	40,00	00 A S	\$ 3.46	1,112,679			D		
Common Stock 03/		03/19/2019			S <u>(1)</u>	1	40,00	00 D	\$ 20.7876	1,072,679			D		
Reminder:	Report on a s	separate line for eac	h class of securities  Table II	- Derivat	ive Seco	ırities Acq	Persin tl disp uired, D	sons whis for plays a	m are not a currently	required y valid O neficially	e collection I to respond MB control (	unless th		ned SEC	1474 (9-02)
1. Title of Derivative Security (Instr. 3)	Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. No final Transaction of D Code Secution (Instr. 8) Acquired or D of (I		Number Derivative curities equired (A) Disposed (D) astr. 3, 4,	umber erivative expirat (Month isposed D) r. 3, 4,		s, convertible securi Exercisable and ion Date h/Day/Year)		e and Amount erlying ies 3 and 4)		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s	Owners Form o  y Derivat Securit Direct or India	ve Ownersh (Instr. 4)
				Code	V (A	) (D)	Date Exercis		expiration Date	Title	Amount or Number of Shares		(Instr. 4)	(Instr. 4	4)
Stock Option (Right to Buy)	\$ 3.46	03/18/2019		M <sup>(1)</sup>		15,072	<u>(4</u>	<u>n</u> 0	6/12/202	2 Comr Stoo	non 15 072	\$ 0	215,383	5 D	
Stock Option (Right	\$ 3.46	03/19/2019		M <sup>(1)</sup>		40,000	<u>(4</u>	<u>n</u> 0	6/12/202	2 Comr Stoo	1/10 000	\$ 0	175,385	5 D	

# **Reporting Owners**

to Buy)

	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
NICOLS JOHN J C/O CODEXIS, INC. 200 PENOBSCOT DRIVE REDWOOD CITY, CA 94063	X		President and CEO					

## **Signatures**

/s/ Richard Sabalot, Attorney-in-Fact for John Nicols	03/20/2019	
Signature of Reporting Person	Date	

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to a 10b5-1 trading plan adopted by the Reporting Person on November 29, 2017.
- This transaction was executed in multiple trades in prices ranging from \$20.90 to \$21.34, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The (2) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- This transaction was executed in multiple trades in prices ranging from \$20.62 to \$20.94, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The
- (3) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- (4) The option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.