FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* NICOLS JOHN J				2. Issuer Name and Ticker or Trading Symbol CODEXIS INC [CDXS]							1	5	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) C/O CODEXIS, INC., 200 PENOBSCOT DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 09/27/2018							ar)		X Officer (give title below) Other (specify below) President and CEO				
(Street) REDWOOD CITY, CA 94063				4. If Amendment, Date Original Filed(Month/Day/Year)							/Year)		A. Individual or Joint/Group Filing/Check Applicable Line) X. Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)				Table I - Non-Derivative Securities Acqui							Securiti	es Acquii	red. Disposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if		3. Transaction Code (Instr. 8)		4. Securities Acquiror Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D)		iired (A)	5. Amount of	·		6.	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock 09/27/2018						M(1)	_	40,0		` /	3.46	1,112,679			D		
Common Stock			09/27/2018			S ⁽¹⁾		32,9	00			1,079,779]	D		
Common Stock			09/27/2018			S ⁽¹⁾		7,10	0			1,072,679]	D		
Common Stock 09/28/2018			09/28/2018				M ⁽¹⁾		40,0	00	A \$	3.46	1,112,679			D	
Common Stock 09/28/2018					S ⁽¹⁾		40,0	00			1,072,679			D			
Reminder:	Report on a	separate line for eac	th class of securities Table II -	Derivati	ive S	ecur	ities Acqı	Persin the disp	sons v his for plays	rm a a cu ed of,	re not rrently	required valid Of eficially (collection to respond MB control r	unless the		ned SEC	1474 (9-02)
1. Title of Derivative Security (Instr. 3)	Conversion	3. Transaction Date (Month/Day/Year)		4. 5. Not of Do Code Secu (Instr. 8) Acqu or Do of (E			umber verivative verities uired (A) visposed D) vr. 3, 4,	6. Date Expirat	6. Date Exercisal		te of Und Year) Securit				9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(Owners Form of Derivat Security Direct (or Indir	Ownershi (Instr. 4)
				Code	V (A)		(D)	Date Exercis	sable I	Expiration Date		Title	Amount or Number of Shares		(Instr. 4)	(Instr. 4	
Stock Option (Right to Buy)	\$ 3.46	09/27/2018		M ⁽¹⁾			40,000	<u>(5</u>) (06/1	2/2022	Comm	non 40 000	\$ 0	314,269	D	
Stock Option (Right to Buy)	\$ 3.46	09/28/2018		M ⁽¹⁾			40,000	<u>(5</u>) (06/1	2/2022	Comm	non k 40,000	\$ 0	274,269	D	
Repor	ting O	wners															

Relationships

Officer

Other

10%

Director

Reporting Owner Name / Address

NICOLS JOHN J C/O CODEXIS, INC. 200 PENOBSCOT DRIVE	X	President and CEO	
200 PENOBSCOT DRIVE			
REDWOOD CITY, CA 94063			

Signatures

/s/ Gordon Sangster, Attorney-in-Fact for John Nicols	10/01/2018		
**Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to a 10b5-1 trading plan adopted by the Reporting Person on November 29, 2017.
- This transaction was executed in multiple trades in prices ranging from \$17.275 to \$18.20, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The (2) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- This transaction was executed in multiple trades in prices ranging from \$18.35 to \$19.125, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The (3) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- This transaction was executed in multiple trades in prices ranging from \$17.05 to \$17.90, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The (4) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- (5) The option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.