# FORM 4

(Print or Type Responses)

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*  VIVO VENTURES VII, LLC					2. Issuer Name and Ticker or Trading Symbol CODEXIS INC [CDXS]							5	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  Director  X 10% Owner						
(Last) (First) (Middle) 575 HIGH STREET, SUITE 201					3. Date of Earliest Transaction (Month/Day/Year) 08/03/2016							-	Office	er (give title belo		Other (specify	below)	)	
PALO AI	LTO. CA	(Street) 94301		4. I	f Amendi	ment	, Date	Origi	nal I	Filed(Montl	n/Day/Y	ear)	_	Form file	ual or Joint/o ed by One Repo led by More than	rting Person		able Lir	ne)
(City)		(State)	(Zip)			Т	able I	- No	n-De	erivative S	Securi	ities A	Acquir	ed, Disp	osed of, or l	Beneficially	Owned		
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Ye	Exectany any	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 8)			4. Securities Acquir (A) or Disposed of (Instr. 3, 4 and 5)			(D) Beneficial Reported (Instr. 3 and		nt of Securities ally Owned Following Transaction(s) and 4)		Form: Direct (D) or Indirect (I)	of In Bend Own	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	Stock		08/03/2016				S			Amount 29,360		\$ 4.29	983	5,425,0	79		I	Ver Fun	Vivo ntures nd VI,
Common Stock		08/04/2016	16			S	}		48,934	D	\$ 4.10 (3)	684	5,376,145		I	By Vivo Ventures Fund VI, L.P. (2)			
Common	Stock		08/03/2016				S			640	D	\$ 4.29 (1)	983	118,240	)		I	Ver VII Aff Fun	iliates
Common	Stock		08/04/2016				S	}		1,066	D	\$ 4.10 (3)	684	117,174	4		I	By Ver VII	Vivo ntures iliates
Reminder: F	Report on a s	eparate line	for each class of s	ecurities	beneficia	ılly c	owned		Per	sons wh	no res n this	form	n are	not requ	ction of inf uired to res OMB con	spond unl	ess	C 1474	4 (9-02)
			Table							Disposed			•	y Owned					
Security (Instr. 3)	2. 3. Transact Conversion or Exercise Price of Derivative Security		Execution (2) (2) (2) (2) (2) (2) (2) (2) (2) (2)	ned n Date, if	4. Transaction Code Year) (Instr. 8)		5.		6. I and (M	ons, convertible secu i. Date Exercisable and Expiration Date Month/Day/Year)		e ee	7. Tit Amor Unde Secur	le and unt of rlying rities . 3 and	Security (Instr. 5) Bendo Own Folk Repo		Owner Form of Deriva Securi Direct or Indi	rship of divergence ty: (D) irect	11. Nature of Indirect Beneficial Ownershij (Instr. 4)
					Code	V	(A)	(D)	Da <sup>o</sup> Exc		Expira Date	ation	Title	Amount or Number of Shares					

#### **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
VIVO VENTURES VII, LLC 575 HIGH STREET, SUITE 201 PALO ALTO, CA 94301		X					
Vivo Ventures Fund VII, L.P. 575 HIGH ST PALO ALTO, CA 94301		X					
Vivo Ventures VII Affiliates Fund, L.P. 575 HIGH ST PALO ALTO, CA 94301		X					

### **Signatures**

/s/ Frank Kung, Managing Member	08/05/2016
**Signature of Reporting Person	Date
/s/ Frank Kung, Manager Member of Vivo Ventures VII, LLC, General Partner of Vivo Ventures Fund VII, L.P.	08/05/2016
**Signature of Reporting Person	Date
/s/ Frank Kung, Manager Member of Vivo Ventures VII, LLC, General Partner of Vivo Ventures Affiliates Fund VII, L.P.	08/05/2016
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price shown is a weighted average sales price. The shares were sold at prices ranging from \$4.24 to \$4.33 per share. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- These shares are owned directly by Vivo Ventures Fund VII, L.P. Vivo Ventures VII, LLC is its general partner, and as such may be deemed to beneficially own these shares.
- (3) The price shown is a weighted average sales price. The shares were sold at prices ranging from \$4.15 to \$4.33 per share. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (4) These shares are owned directly by Vivo Ventures Affliates Fund VII, L.P. Vivo Ventures VII, LLC is its general partner, and as such may be deemed to beneficially own these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.