

## Form 144 Filer Information

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 144

## Form 144

NOTICE OF PROPOSED SALE OF SECURITIES  
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

## 144: Filer Information

Filer CIK

0001238884

Filer CCC

XXXXXXXX

Is this a LIVE or TEST Filing?

☒ LIVE ☐ TEST

## Submission Contact Information

Name

Phone

E-Mail Address

## 144: Issuer Information

Name of Issuer

CODEXIS, INC.

SEC File Number

001-34705

Address of Issuer

200 Penobscot Drive  
Redwood City  
CALIFORNIA  
94063

Phone

650-421-8100

Name of Person for Whose Account the  
Securities are To Be Sold

JOHN NICOLS

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer

Director

## 144: Securities Information

Title of the Class of Securities To Be Sold

Common

Name and Address of the Broker

Morgan Stanley Smith Barney LLC  
1 New York Plaza  
38th Floor  
New York  
NY  
10004Number of Shares or Other Units To Be  
Sold

35714

Aggregate Market Value

147873.82

Number of Shares or Other Units  
Outstanding

65946807

Approximate Date of Sale

04/18/2023

Name the Securities Exchange

NASD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or

any part of the purchase price or other consideration therefor:

## 144: Securities To Be Sold

Title of the Class	COMMON
Date you Acquired	04/18/2023
Nature of Acquisition Transaction	STOCK OPTIONS
Name of Person from Whom Acquired	ISSUER

Is this a Gift?

☐ Date Donor Acquired

Amount of Securities Acquired	35714
Date of Payment	04/18/2023
Nature of Payment	Cash

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	JOHN NICOLS 200 Penobscot Drive Redwood City CA 94063
Title of Securities Sold	Common
Date of Sale	04/17/2023
Amount of Securities Sold	35714
Gross Proceeds	151377.36

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	JOHN NICOLS 200 Penobscot Drive Redwood City CA 94063
Title of Securities Sold	COMMON
Date of Sale	03/16/2023
Amount of Securities Sold	35714
Gross Proceeds	145610.00

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	JOHN NICOLS 200 Penobscot Drive Redwood City CA 94063
Title of Securities Sold	COMMON

Date of Sale	03/15/2023
Amount of Securities Sold	35714
Gross Proceeds	145061.00

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	JOHN NICOLS 200 Penobscot Drive Redwood City CA 94063
Title of Securities Sold	COMMON
Date of Sale	02/24/2023
Amount of Securities Sold	35714
Gross Proceeds	171579.00

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	JOHN NICOLS 200 Penobscot Drive Redwood City CA 94063
Title of Securities Sold	COMMON
Date of Sale	02/23/2023
Amount of Securities Sold	39785
Gross Proceeds	212025.00

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	JOHN NICOLS 200 Penobscot Drive Redwood City CA 94063
Title of Securities Sold	COMMON
Date of Sale	01/24/2023
Amount of Securities Sold	35714
Gross Proceeds	228217.00

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	JOHN NICOLS 200 Penobscot Drive Redwood City CA 94063
Title of Securities Sold	COMMON
Date of Sale	01/23/2023
Amount of Securities Sold	39785
Gross Proceeds	260445.00

## 144: Remarks and Signature

Remarks

Date of Notice

04/18/2023

Date of Plan Adoption or Giving of  
Instruction, If Relying on Rule 10b5-1

08/31/2022

**ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature

/s/ John Joseph Nicols

**ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)**