FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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OMB Number:	3235-0287
Estimated average burden	

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting  Dorgan Byron L	Person *	2. Issuer Name and Ticker or Trading Symbol CODEXIS, INC. [ CDXS ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner					
(Last) (First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/13/2023	Officer (give title Other (specify below) below)					
C/O CODEXIS, INC. 200 PENOBSCOT DRIVE  (Street)		4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check App  X Form filed by One Reporting Perso Form filed by More than One Repo						
REDWOOD CITY CA	94063	Rule 10b5-1(e) Transaction Indication						
(City) (State)	(Zip)	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to a affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	tution Date, Transaction Code (Instr.		4. Securities Ad Disposed Of (D			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/13/2023		A		34,602(1)	A	\$0	213,461	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction	3A. Deemed	4.		5. Number of		6. Date Exercisable and		7. Title and Amount of		8. Price of	9. Number of	10.	11. Nature	L
-1	Derivative	Conversion	Date	Execution Date,	Transaction		Derivative		Expiration Date		Securities Underlying		Derivative	derivative	Ownership	of Indirect	L
- 1	Security (Instr. 3)	or Exercise	(Month/Day/Year)	if any	Code (Instr.		Securities		(Month/Day/Year)		Derivative Security		Security	Securities	Form:	Beneficial	1
- 1		Price of		(Month/Day/Year)	8) Acquired (A)				(Instr. 3 and 4)		(Instr. 5)	Beneficially	Direct (D)	Ownership	L		
- 1		Derivative			or Disposed of		r Disposed of				Owned		or Indirect	(Instr. 4)	L		
		Security			(D) (Instr. 3, 4		l I					Following	(I) (Instr. 4)		L		
- 1					and 5)		_   ·					Reported			L		
- 1						<del> </del>						-	Transaction(s)			L	
- 1						l						Amount		(Instr. 4)			L
- 1						l						or					1
- 1						l			Date	Expiration		Number					1
- 1					Code	l v	(A)	(D)	Exercisable	Date	Title	of Shares					ı
- L				1													4

#### **Explanation of Responses:**

1. The restricted stock award vests as to all of the shares subject to the award on the earlier of the first anniversary of the date of grant or the next annual stockholder meeting, subject to the director's continued service to the Company on such vesting date.

/s/ Sriram Ryali, as Attorney-in-Fact for Byron Dorgan

\*\* Signature of Reporting Person Date

06/15/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.