FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| ı | OMB Number: | 3235-0287 |
|---|--------------------------|-----------|
| ı | Estimated average burden | |
| ı | hours per response: | 0.5 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person PARKER H STEWART | n* | 2. Issuer Name and Ticker or Trading Symbol CODEXIS, INC. [CDXS] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|---|----------------|---|--|-------------------------------------|---------------------------------------|--|--|--|--|
| (Last) (First) (Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 06/13/2023 | X | Director Officer (give title below) | 10% Owner Other (specify below) | | | | |
| C/O CODEXIS, INC. 200 PENOBSCOT DRIVE (Street) | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| REDWOOD CITY CA (City) (State) | 94063 (Zip) | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | 4. Securities Ac Disposed Of (D | | | Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership |
|---------------------------------|--|---|--------------------------|---|------------------------------------|---------------|-------|--|---|-------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 06/13/2023 | | A | | 34,602(1) | A | \$0 | 72,480 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | 1. Title of | 2. | 3. Transaction | 3A. Deemed | Transaction Deriv | | | | Expiration Date | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security | derivative Securities | 10. Ownership Form: Direct (D) | 11. Nature | L |
|-----|---------------------|-------------|------------------|------------------|-------------------|----------------------------|-------------------------|--------------|-----------------|------------|--|-----------|------------------------|--------------------------|---|-------------|---|
| -1 | Derivative | Conversion | Date | Execution Date, | | | | | | | | | | | | of Indirect | L |
| - 1 | Security (Instr. 3) | or Exercise | (Month/Day/Year) | if any | | | Code (Instr. Securities | | | | | | | | | Beneficial | 1 |
| - 1 | | Price of | | (Month/Day/Year) | 8) | 8) Acquired (A) | | Acquired (A) | | | | | | | | Ownership | L |
| - 1 | | Derivative | | | or Disposed of | | or Disposed of | | | | I I | Owned | or Indirect | (Instr. 4) | L | | |
| | | Security | | | | (D) (Instr. 3, 4 and 5) | | , , , , , | | | | | Following | (I) (Instr. 4) | | L | |
| - 1 | | | | | | | | | | | | | Reported | | | L | |
| - 1 | | | | | | | | | | | | | - | Transaction(s) | | | L |
| - 1 | | | | | | l | | | | | | Amount | | (Instr. 4) | | | L |
| - 1 | | | | | | l | | | | | | or | | | | | 1 |
| - 1 | | | | | | l | | | Date | Expiration | | Number | | | | | 1 |
| - 1 | | | | | Code | l v | (A) | (D) | Exercisable | Date | Title | of Shares | | | | | ı |
| - L | | | | 1 | | | | | | | | | | | | | 4 |

Explanation of Responses:

1. The restricted stock award vests as to all of the shares subject to the award on the earlier of the first anniversary of the date of grant or the next annual stockholder meeting, subject to the director's continued service to the Company on such vesting date.

/s/ Sriram Ryali, as Attorney-in-Fact for H. Stewart Parker

06/15/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).