## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

longer subject to
Section 16. Form 4 or
Form 5 obligations
may continue. See
Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Ty	pe Response	s)															
1. Name and Address of Reporting Person* NICOLS JOHN J				2. Issuer Name and Ticker or Trading Symbol CODEXIS, INC. [CDXS]								5	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  _X_ Director 10% Owner				
(Last) (First) (Middle) C/O CODEXIS, INC., 200 PENOBSCOT DRIVE				3. Date of Earliest Transaction (Month/Day/Year) 08/12/2021									X_Officer (give title below) Other (specify below) President and CEO				
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)							Year)		6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person				
REDWOOD CITY, CA 94063												Form filed by More than One Reporting Person					
(Ci	ty)	(State)	(Zip)				Table	I - Non-	Derivat	tive	Securitie	es Acquir	ed, Disposed	of, or Benef	ficially Own	ed	
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.	(Instr. 8)		4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)			Owned Following Reported Transaction(s)			Form:	7. Nature of Indirect Beneficial		
				(Month)	ъ	// Y ear	Cod	le V	Amou	unt	(A) or (D)	Price	(Instr. 3 and 4	•)		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Commo	n Stock		08/12/2021				M	1)	35,0	00	A \$	3.46	1,057,679			D	
Common Stock		08/12/2021			S <sup>(1</sup>	)	34,0	66	D \$ 24 (2		1,023,613			D			
Common Stock 08/1			08/12/2021			S <u>(1</u>	)	934	]	D \$ 25 (3		1,022,679			D		
Commo	Common Stock 08/13/2021					M	1)	35,0	00	A \$	3.46	1,057,679		D			
Common Stock 08/13/202		08/13/2021			S(1	)	35,00	00	D \$ 23 (4		1,022,679		D				
Reminder:	Report on a s	separate line for each	h class of securities					Per in t a c	sons v his for urrentl	m a y va	re not re alid OME	equired 3 contro	collection o to respond I number.				1474 (9-02)
			Table II								, or Bene de secur	eficially C ities)	Owned				
1. Title of Derivative Security (Instr. 3)	Conversion	3. Transaction Date (Month/Day/Year)		Transaction of D Code Secu (Instr. 8) Acqu or D of (I		umber erivative rities nired (A) isposed D) r. 3, 4,			7. Title of Undo Securit	ies 3 and 4)	Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)	Owners Form o Derivat Securit Direct ( or Indir	Ownersh y: (Instr. 4) D) ect			
				Code	v	(A)	(D)	Date Exercisa		Expi Date	iration e	Title	Amount or Number of Shares		(Instr. 4)	(Instr. 4	·)
Stock Option (Right to Buy)	\$ 3.46	08/12/2021		M <sup>(1)</sup>	V		. ,	06/13/	2016	06/1	12/2022	Comn	non 35 000	\$ 0	70,000	D	
Stock Option (Right to Buy)	\$ 3.46	08/13/2021		M <sup>(1)</sup>			35,000	06/13/	2016	06/1	12/2022	Comn	non ck 35,000	\$ 0	35,000	D	

## **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Reporting Owner (Value)							

### **Signatures**

/s/ Ross Taylor, as Attorney-in-Fact for John J. Nicols	08/16/2021	
**Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to a 10b5-1 trading plan adopted by the Reporting Person on March 5, 2021.
- This transaction was executed in multiple trades in prices ranging from \$24.20 to \$25.15, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The
- (2) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- This transaction was executed in multiple trades in prices ranging from \$25.24 to \$25.36, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The (3) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
  - This transaction was executed in multiple trades in prices ranging from \$23.53 to \$24.12, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The
- (4) Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.